

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

(Not for Provisional or PCT cases)  
WITH SIGNED DECLARATION

PATENT APPLICATION

Asst. Commissioner of Patents  
Washington, D.C. 20231

NONPROVISIONAL  
NON REISSUE

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): GIARDELLO et al.

(Our Deposit Account No. 03-3975)

Our Order No. 14518 0261607  
C# M#

Title POLYOLEFIN COMPOSITIONS OPTIONALLY HAVING  
VARIABLE TOUGHNESS AND/OR HARDNESS

Atty. Dkt.: PM 0261607 ASM-110  
M# Client Ref

including:

Date: 05/17/99

1. Specification: 30 pages (only spec. and claims)
2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☐ Abstract 1 page(s); 24 numbered claims
- 3(a). ☐ Drawings: sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
4. ☒ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# 253449 SN 60/085,981)
5. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:
- 5(a). ☐ National Appln. No. / filed (M# )
- 5(b). ☐ International Appln. No. filed which designated the U.S.
6. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ , filed --
7. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
8. ☐ Prior application is assigned to by Assignment recorded Reel Frame
9. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

10. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) in U.S. Application No. / filed on

11. ☒ Attached: 1 (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

12. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/085,981	05/19/98	(2) 60/118,865	02/05/99
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

13. ☐ Attached:

14. ☒ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

15. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
16. Basic Filing Fee				\$760/\$380	\$380	101/201
17. Total Effective Claims	24	minus 20 =	*4	x \$18/\$9 =	+ 36	103/203
18. Independent Claims	2	minus 3 =	*0	x \$78/\$39 =	+ 0	102/202
*If answer is zero or less, enter "0"						
19. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 0	104/204
20. TOTAL FILING FEE ENCLOSED =					\$416	
21. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
22. If "assignment" box 6 is X'd, add recording fee				+ \$40	+ 40	581
23. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
24. TOTAL FEE ENCLOSED =					\$456	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Madison & Sutro LLP  
Intellectual Property Group**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10**

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. EL090792139US) on the date shown below in an envelope addressed to the Commissioner of Patents & Trademarks, U.S. Patent and Trademark Office, Washington, D.C., 20231.

Dated: 5/17/99

By: 

Name: **JOYCE L. MURPHY-VOGEL**

JC551 U.S. PTO  
09/312811



PAT-103 11/97 PTO RECEIPT FOR INDICATED ITEMS

Atty JEBURKE (SD)

Appln. No: 0 / NEW APPLICATION

Date 5/17/99

Inventor(s) GIARDELLO et al.

C# 14518

Title: POLYOLEFIN COMPOSITIONS OPTIONALLY...

M# 261507

ENCLOSED: Transmittal Request for Filing National Patent

~~Amended~~ Patent Application Under 35 USC 111(a) and 53(b)

# 1 No. of Pages Abstract (in duplicate)

# 30 No. of Pages Spec and Claims

# 24 No. of numbered Claims only

1 Declaration (2 #pgs)

Assignment ☐ Cover Sheet

#      No. of Priority Documents

#      No. Sheets Drawings (Fig(s) 1 to     ) ☐ 1 set Formal

☐ IDS Letter ☐ citing App. ☐ Foreign sch rept./OA

☐ PTO-1449 ☐ cited docs.

\$ 456 Fee (Check)

Other: **EXPRESS MAIL CERTIFICATION: SMALL ENTITY STATEMENT  
CLAIMING SMALL ENTITY STATUS**

CURRENT DUE DATE: 5/17/99